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Capacity Gains from Multipoint Single Frequency Transmission in HSPA+

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***Abstract*—High Speed-Single Frequency Network (HS-SFN) is one of the possible multipoint transmission techniques proposed in the 3GPP standard for High Speed Downlink Packet Access (HSDPA) in order to improve network performance, especially at the cell edge. It allows neighboring cells to transmit simultaneously the same data stream to a User Equipment (UE) in the Handover region (HO). In order to evaluate the user-level performance of this technique, we develop a method based on network simulation coupled with Markov chain analysis. It shows that when the HS-SFN technique is performed in the HO region between adjacent cells, the user data rates increase significantly. However, this is true only when involved cells are partially loaded, which is not always the case. We thus propose an optimized approach that adapts the coordination area based on the average offered traffic observed in the network. Network performance is then improved at any load.**

***Index Terms*-HSPDA, Multipoint Transmission, HS-SFN, Sector Coordination, Cellular Networks, Flow Level Modeling, Queuing Theory.**

I. Introduction

Since its initial release in 1999, the WCDMA system [1] has been upgraded to offer substantial improvements in data rates and capacity. The first step was to improve the downlink by introducing the High-Speed Downlink Packet Access (HSDPA) system in release 5. Subsequently, the uplink has been upgraded by defining the High-Speed Uplink Packet Access (HSUPA) system in release 6 [2]. Introduced in release 7, the Enhanced HSPA system (also known as HSPA+) provides advanced features such as multiple input-multiple output (2x2 MIMO) technologies and higher order modulation (64 QAM). Recently, new features have been added such as the Multi-carrier HSDPA (MC-HSDPA) where two or more carriers are involved in serving a user equipment (UE). More recently, within the framework of Release 11, the concept of HSDPA Multipoint Transmission has been introduced [3]. The difference between multi-carrier and multipoint HSDPA is that the former allows multiple carriers, belonging to the same sector, to serve simultaneously the UE, while the latter coordinates different sectors to serve cell edge UEs.

There are three types of multipoint transmission schemes:

- In the Single Point data transmission scheme, the UE is allowed to be attached to two sectors but can be served only by one of them at each time slot, depending on its instantaneous channel.
- In the Multiflow data transmission, all sectors can simultaneously schedule independent transport blocks to the UE. In this scheme, coordinated sectors may or may not belong to the same NodeB but, in the latter case, the two NodeBs must exchange some signaling information. In [4], the authors propose a system-level evaluation of this scheme where two cells schedule independent data streams to the same UE in the same frequency. Results show that it performs better than the classical HSDPA transmission in terms of throughput and has the ability to balance load in asymmetric loading environment. Furthermore, the effect of coordination on UEs which are not concerned by the joint scheduling is shown to be negligible.
- In the Single Frequency Network (SFN) data transmission, the same transport block is scheduled simultaneously by a pair of sectors belonging to the same NodeB. As its name suggests, transmissions are performed synchronously so that the signals are combined and seen as a single multipath signal at the UE receiver [5]. We focus in this paper on this coordination scheme as its performance evaluation has been rarely addressed in the literature.

These HSDPA Multipoint techniques enable to enhance network performance by improving user experience, especially at cell edge, and efficiently balancing load [6]. It turns out that the user dynamics are rarely taken into consideration when evaluating the performance gains of such techniques. The aim of this work is to evaluate the performance gains of HS-SFN in HSDPA at flow level, accounting for flow arrivals and departures. To do that, we develop a method based on network simulation coupled with Markov chain analysis. We consider that within a site, each pair of sectors serves users in the Handover (HO) region by simultaneous transmissions of the same data stream in the same carrier frequency. Performance is evaluated in terms of throughput in average and at cell edge. The main contributions of this work are the following:

1) We propose an original hybrid performance evaluation approach based on a realistic radio simulator coupled with a Markovian analysis, where the former assesses the impact of coordination on the peak throughputs while the latter catches the behavior of the resource allocation mechanism.

2) We take into account the flow level dynamics in the evaluation and assess the impact of traffic on the coordination gain.
3) We propose an optimization of the coordination scheme that chooses the appropriate coordination zone.

This paper is organized as follows. In section II, the concept of sector coordination using HS-SFN for HSDPA and the resource allocation algorithm are introduced. The performance analysis is presented in section III. Simulation results are provided in section IV. In section V, we propose an optimized coordination. Finally, conclusions and further work are drawn in section VI.

II. HS-SFN FOR HSDPA NETWORK

A. Concept of sector coordination using HS-SFN

In classical HSDPA, each UE is associated to the so-called *serving* base station (BS) that offers the best signal. When signals from non-serving BSs exceed the signal from the serving one by a certain threshold, a handover (HO) mechanism is triggered in order to select the best BS to switch to. This threshold is denoted by $\delta_{handover}$ and a user in this region verifies:

$$\frac{\textit{Received signal from the serving BS}}{\textit{Received signal from the non-serving BS}} < \delta_{handover}$$

This region can be represented also by the fraction α of the total surface covered by the BS.

As proposed by the 3GPP standard [3], once a UE falls in this region, the non-serving BS with the best signal coordinates with the serving one in order to schedule the same transport block to this UE in the same frequency and using the same scrambling code, hence signals are combined and the receiver sees just one multipath signal. Thus, the Signal-to-Noise-plus-Interference-Ratio (SINR) is improved leading to a better user experience at the cell edge. In fact, focusing on a tri-sectored site as shown in figure 1 where sectors are loaded symmetrically, the SINR measured at location u using the single point transmission technique (without coordination) is as follows:

$$SINR_u = \frac{P_{0,s}(u)}{\sum_{\substack{i=1\\ i\neq s}}^{3} P_{0,i}(u) + \sum_l \sum_{i=1}^{3} P_{l,i}(u) + n},$$

where $P_{l,i}(u)$ denotes the received power from sector S_i for $i \in \mathcal{N} = \{1,2,3\}$ in site l (sector s of site 0 is supposed to be the serving sector of user u) and n is the thermal noise. However, when the HS-SFN technique is performed at the same location (in the HO region between adjacent sectors), the SINR becomes:

$$SINR'_u = \frac{P_{0,s}(u) + P_{0,c}(u)}{\sum_{\substack{i=1\\ i\neq s,c}}^{3} P_{0,i}(u) + \sum_l \sum_{i=1}^{3} P_{l,i}(u) + n}.$$

We notice that the signal received from sector c is converted from interfering signal to useful signal. The SINR is thus largely improved as the received signal is getting stronger.

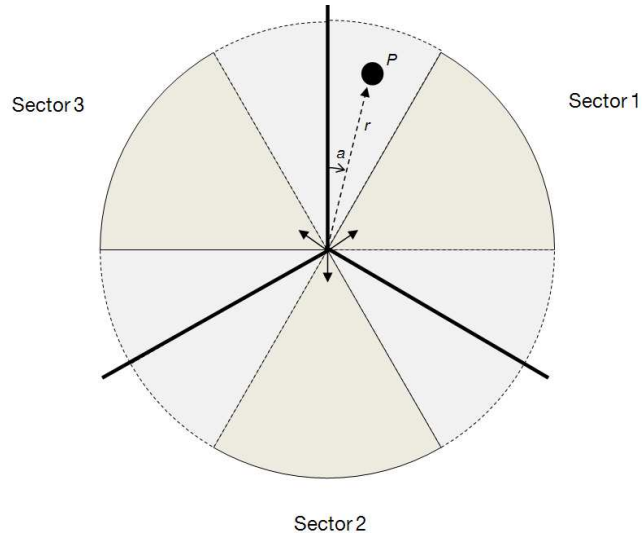

Fig. 1. A tri-sectored site.

B. Resource Allocation Algorithm

As it is shown in figure 1, we can divide the tri-sectored site into six zones:

- Non-coordination zones where users are served exclusively by a unique BS noted Z_1, Z_2 and Z_3.
- Coordination zones where users are served simultaneously by two BSs noted Z_{12}, Z_{23} and Z_{31}.

For instance, zone $Z_1 \subset S_1$ includes users served exclusively by BS_1 and zone $Z_{12} \subset S_1 \cap S_2$ includes users served simultaneously by BS_1 and BS_2.

We consider a centralized scheduler per site. Resources are divided according to a Proportional Fair resource allocation [7], [8] so that BS_1, BS_2 and BS_3 can serve separately and independently users in zone Z_1, Z_2 and Z_3, respectively. But, when serving users in Z_{12} for instance, BS_1 and BS_2 join their resources and block users from Z_1 and Z_2, similarly for users in zones Z_{23} and Z_{31}. Since we neglect the fast-fading variations of the channel, the resource allocation algorithm can be described as follows:

At each timeslot,

- Select a user at random,
- Remove all other users sharing the same resources with it from the list of eligible users,
- Iterate until all sectors are occupied (i.e. all resources are used) or the list of eligible mobiles is empty;
- Serve the selected mobiles.

We can thus express the fraction of time allocated to each type of users at a given time. Let $\mathcal{K} = \{1, 12, 2, 23, 3, 31\}$ be the set of zones in the site. We denote by y_k the number of users in each zone Z_k and y their corresponding vector. The Total number of users in the site is given by $\bar{y}$. Then, the fraction of time allocated for instance to users in zone Z_{12} for instance is given by

$$\phi_{12}(y) = \frac{y_{12}}{\bar{y}} + \frac{y_3}{\bar{y}} \frac{y_{12}}{y_1 + y_2 + y_{12}}.$$

In fact, a user in zone Z_{12} is served if it is selected at first with a fraction of $y_{12}/\bar{y}$ or after selecting a user in zone Z_3 with a fraction of $y_3/\bar{y}$ (blocking by this way users in Z_{23} and Z_{13}). Similarly, we get the fraction of time allocated to users in Z_1:

$$\phi_1(y) = \frac{y_1 + y_{23}}{\bar{y}} + \frac{y_2}{\bar{y}} \frac{y_1 + y_3}{y_1 + y_3 + y_{31}} + \frac{y_3}{\bar{y}} \frac{y_1 + y_2}{y_1 + y_2 + y_{12}},$$

and so on for the other zones.

III. Performance Analysis

In this section, we first present the achievable rates obtained by the technique of HS-SFN in a HSDPA network. Then, these rates are used in the Markov process that describes the system dynamics. Finally, performance is evaluated in terms of average throughput and cell edge throughput for different load situations.

A. Achievable Rates

We consider a homogeneous, trisectored, HSDPA network in a hexagonal grid topology. Two interfering rings (i.e., a total of $n = 19$ cells) are considered when calculating inter-cell interference. We divide the cell depicted in figure 1 into elementary surfaces defined by an angle a relative to the main direction of the antenna (i.e. a is an integer $\in [0, 120]$)) and a distance from the site center $r \in [50..350]$.

Based on the analysis of section II.A, we measure the SINR of a single user at different positions (a, r) in two cases: i) Classical transmission (i.e. without sector coordination) and ii) Multipoint transmission using the HS-SFN technique (i.e. with sector coordination). Once this SINR is obtained, link level curves are used that associate to each SINR the corresponding throughput by taking into account the fast fading as described in [9]. We thus obtain two matrices of peak full buffer throughputs, $R(a, r)$ and $R'(a, r)$, without and with coordination, respectively. Figure 2 illustrates the classical HSDPA throughput over a half-sector (PA3 channel, dense urban area with an inter-site distance of 500 meters), while figure 3 illustrates the case where $\alpha = 30\%$ of the cell surface is subject of coordination. It can be observed that the peak throughput is largely improved when coordination is applied.

B. Markov Analysis

In the previous section, we showed the impact of coordination on the throughput when the user is alone in the cell. In this section, we perform a Markovian analysis that takes into account the impact of the centralized scheduling and the flow level dynamics on the performance.

Let $\mathcal{C} = \{1, 2, ..., M\}$ be the set of elementary surfaces such as each element $i \in \mathcal{C}$ corresponds to a combination of (a, r) and belongs to one of the six zones defined previously. We assume the traffic demand is uniformly distributed in the site. Users arrive to the site at random following a Poisson process of intensity λ and leave the network once they finish their data transfer. Precisely, users in position j arrive following a

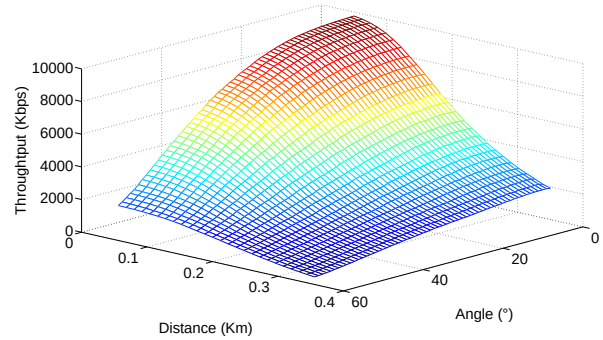

Fig. 2. 3D representation of the peak throughput using single point transmission (hexagonal, tri-sectorized network).

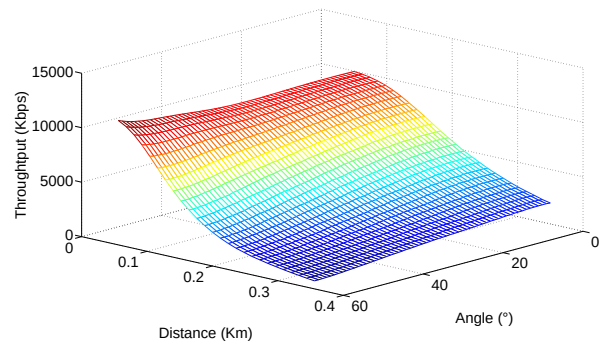

Fig. 3. 3D representation of the peak throughput using Multipoint transmission ($\alpha = 30\%$)

Poisson process of intensity $\lambda_i = p_i \lambda$. Flows are characterized by their size which is independent and identically distributed with mean σ. The mean service rates without and with coordination are given by μ_i and μ'_i respectively such as

$$\mu_i = R_i/\sigma; \mu'_i = R'_i/\sigma.$$

The system can be described as a Markov process. Each state is denoted by $x = \{x_i\}_{i \in \mathcal{C}}$ and the transition rates between two states x and x' are given as follows

$$q(x, x') = \begin{cases} \lambda_i \text{ for } x' = x + e_i \\ \frac{x_i}{y_k} \phi_k(y) \mu_i \text{ for } x' = x - e_i \text{ with } i \subset Z_k, k = \{1, 2, 3\} \\ \frac{x_i}{y_k} \phi_k(y) \mu'_i \text{ for } x' = x - e_i \text{ with } i \subset Z_k, k = \{12, 23, 31\} \\ 0 \text{ else} \end{cases}$$

where e_i denotes an M-dimensional vector where the i'th component is equal to one and all the others are equal to zero.

We can obtain, by simulation, the steady-state probabilities $\pi(x)$, leading to the average numbers of users in class i [10] [11] such as

$$E(x_i) = \sum_x x_i \pi(x)$$

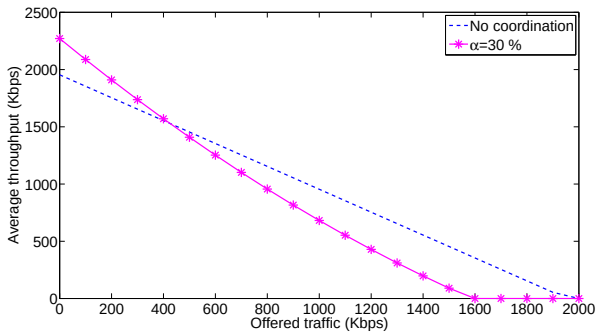

Fig. 4. Average throughput VS traffic

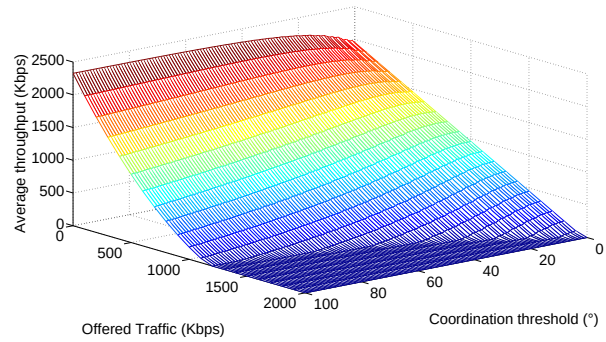

Fig. 6. Average throughput for all possible values of α

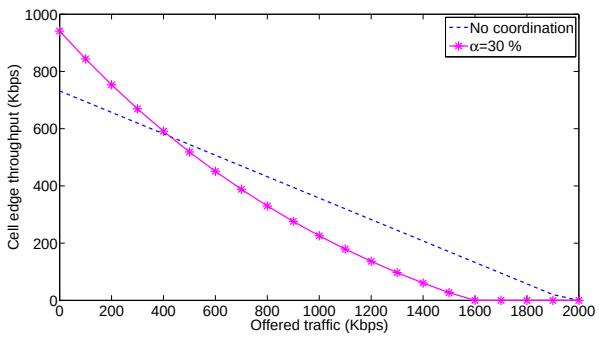

Fig. 5. Cell edge throughput VS traffic

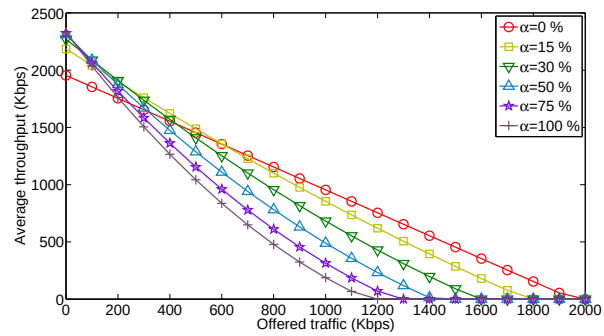

Fig. 7. Average throughput for $\alpha = 0, 15, 30, 50, 75, 100$

Using Little's law, we obtain that the mean delay users in i as

$$E(T_i) = \frac{E(x_i)}{\lambda_i}$$

The throughput, defined as the ratio of the mean flow size to the mean flow delay, is given by

$$\gamma_i = \frac{\sigma}{E(x_i)}$$

C. Simulation Results

In this part, we evaluate the HS-SFN technique by injecting the full buffer throughputs of Figures 2 and 3 in the Markovian flow level analysis. We define two performance measures: The average flow throughput over the cell and the cell edge throughput as the throughput achieved by the worst 5% of users. Figures 4 and 5 represent the average and the cell edge throughputs obtained for different traffic values λ. We notice an important increase in both average and cell edge throughputs in low load situations. However, for high load situations, we see a deterioration of the throughput, followed by a decrease of the stability region when using the HS-SFN coordination technique. This is due to the fact that, even if sectors are loaded, they have to schedule users from other sectors leading to less scheduling opportunities for their own users.

This motivates us to look for an optimized coordination area where to perform the HS-SFN for HSDPA without sacrificing the system performance.

IV. Optimized Coordination Area

As seen so far, the performances of HS-SFN are mainly related to the average traffic observed in the cell. Allowing an $\alpha = 30\%$ of the total area of a sector to enable Multipoint transmission is beneficial until some point where the single point transmission (without cell coordination) performs better. We thus study the impact of coordination area on the system performance. We start by illustrating, in figure 6, the average throughput $\gamma(\alpha, \lambda)$ for all possible values of α ranging from 0 % to 100 % and for different traffic values λ. We can observe that the extent of the coordination area has a large impact on performance and that this impact differs depending on the offered traffic. Figure 7 further illustrates this impact for some values of $\alpha \in \{0, 15, 30, 50, 75, 100\}$. It can be observed that a value of α can be better than another in some load situations, and worse in others. More precisely, for low load situation, the best performances are obtained with large coordination areas while lower coordination is preferred for high loads.

We thus propose an optimized coordination scheme where the coordination zone is broadened or narrowed depending on the average traffic. For that, an information about the handled traffic need to constantly available in each sector. Hence, the optimal coordination value, denoted by α^*, is the solution of the optimization problem described as

$$\alpha^* = \arg\max_{\alpha} \gamma(\alpha, \lambda)$$

This optimal value is illustrated in figure 8 where α^* is plotted

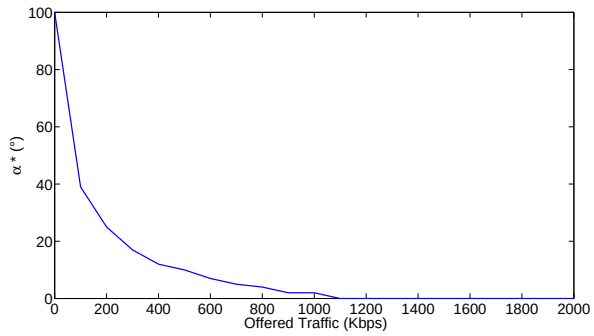

Fig. 8. Optimal coordination area.

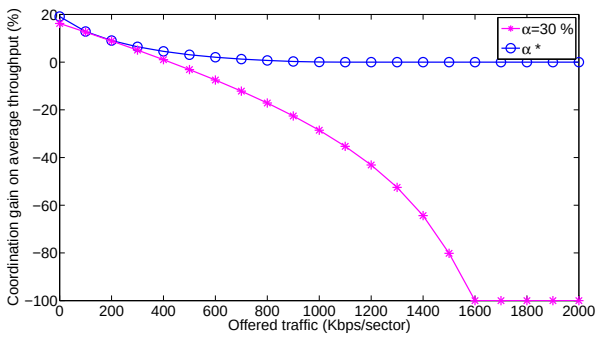

Fig. 9. Coordination gain on average throughput for the optimized case and the case where $\alpha = 30\%$.

for different traffic situations. We notice that the value of α^* decreases with the load, until reaching the value of 0 near the network stability condition where the classical HSDPA operation is the preferred one. Using these results, each sector has to configure its coordination area in respect to the average traffic load. Figures 9 and 10 show the gain achieved when using the optimized coordination scheme compared to the common coordination scheme (with $\alpha = 30\%$)in average and at cell edge, respectively. We see that with a constant coordination threshold, we may have negative impact on network performances, while the optimized scheme brings almost always a positive gain.

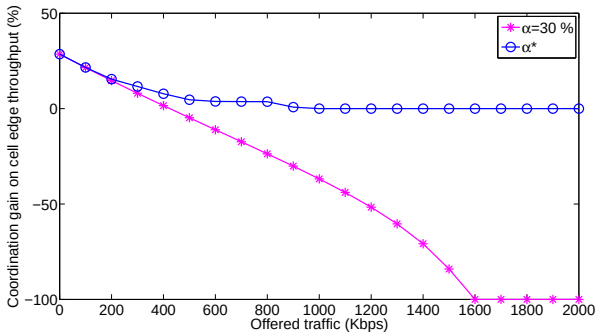

Fig. 10. Coordination gain on cell edge throughput for the optimized case and the case where $\alpha = 30\%$.

V. Conclusion

In this paper, we analyze the flow-level performances of HS-SFN for HSDPA as proposed by the 3GPP standard. We develop a method based on network simulation coupled with Markov chain analysis. Results show an important increase in the average throughput at a price of a significant decrease in the stability region of the system. We hence propose an optimized coordination scheme that adapts the coordination area with respect to the load observed in the network and show that it achieves good performances in all traffic situations.

As future work, we aim at proposing self optimizing algorithms that allow adapting the coordination threshold based on radio conditions and traffic measurements. We aim also at studying other cell coordination algorithms (Single/Dual frequency Multiflow data transmission) in HSPA+ and also Coordinated Multipoint schemes in LTE-Advanced networks.

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